

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
0094.048

In Re Application Of: SIEGEL ET AL.

Serial No.

09/805,683

Filing Date

03/16/2001

Examiner

UNASSIGNED

Group Art Unit

2812

Invention: **FLASH CVD PROCESS FOR SYNTHESIS OF CARBON NANOTREES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
05/01/2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
 - ☐ is/are attached.
 - ☒ was/were filed on 03/16/01
- ☐ A separate request for refund.
- ☒ Other (list):

Substitute Drawings (7 pages) (Figures 1A-H, 2A-E, 3A-F, 4A-E, 5, 6A-C)
Assignment and Assignment Recordation Cover Sheet (3 pages)

Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)

Docket No.
0094.048

In Re Application Of: **SIEGEL ET AL.**

Serial No.
09/809,883

Filing Date
03/16/2001

Examiner
UNASSIGNED

Group Art Unit
2812

Invention: **FLASH CVD PROCESS FOR SYNTHESIS OF CARBON NANOTREES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

- ☐ Utility application filing fee _____
- ☐ Design application filing fee _____
- ☐ Total number of independent claims = _____
- ☐ Total number of claims = _____
- ☐ Multiple dependent claims _____
- ☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**
- ☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____
- ☐ Fee for processing an application filed with a non-English language specification _____
- ☐ Fee for processing and retention of application _____

Total completion of application fees **\$65.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due **\$65.00**

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
0094.048

In Re Application Of: SIEGEL ET AL.

Serial No.
09/809,883

Filing Date
03/16/2001

Examiner
UNASSIGNED

Group Art Unit
2812

Invention: **FLASH CVD PROCESS FOR SYNTHESIS OF CARBON NANOTREES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$65.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 08-1935
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 08-1935
A duplicate copy of this sheet is enclosed.

Mary Louise Gioeni
Signature

MARY LOUISE GIOENI, ESQ.
Registration No. 41,779

HESLIN & ROTHENBERG, P.C.
5 Columbia Circle
Albany, New York 12203
Phone: 518-452-5600
Fax: 518-452-5579

Dated: JUNE 8, 2001

I certify that this document and fee is being deposited on
06/8/2001 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Mary Louise Gioeni
Signature of Person Mailing Correspondence

MARY LOUISE GIOENI

Typed or Printed Name of Person Mailing Correspondence

CC:

\$ Sector

**TRANSMITTAL LETTER
(General - Patent Pending)**

Docket No.
0094.048

In Re Application Of: **SIEGEL ET AL.**

Patent & Trademark Office
JUN 11 2001
097800281

Serial No.

097800281

Filing Date

03/16/01

Examiner

UNKNOWN

Group Art Unit

2812

Title: **FLASH CVD PROCESS FOR SYNTHESIS OF CARBON NANOTREES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is: **Response to Notice to File Missing Parts of Application (3 pages);**

Notice to File Missing Parts of Nonprovisional Application (2 pages); Declaration and Power of Attorney for Patent Application (4 pages); Substitute Drawings in Compliance with 37 CFR 1.84 (7 pages); Assignment and Assignment Recordation Cover Sheet (3 pages); Check in the Amount of \$105.00 (\$65.00 for Missing Parts / \$40.00 for Assignment); Return-Receipt Postcard

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of **\$105.00** is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **08-1935** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Mary Louise Gioeni
Signature

MARY LOUISE GIOENI, ESQ.
Registration No. 41,779

HESLIN & ROTHENBERG, P.C.
5 Columbia Circle
Albany, New York 12203
Phone: 518-452-5600
Fax: 518-452-5579

Dated: **JUNE 8**, 2001

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20231.

Mary Louise Gioeni
Signature of Person Mailing Correspondence

MARY LOUISE GIOENI

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/809,883	03/16/2001	Richard W. Siegel	0094.048

CONFIRMATION NO. 7162

23405
HESLIN & ROTHENBERG, PC
5 COLUMBIA CIRCLE
ALBANY, NY 12203

FORMALITIES LETTER



OC000000006025658

Date Mailed: 05/01/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/13/2001 SHINASS1 00000007 09809883

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 DP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice **MUST** be returned with the reply.*

Tabitha O. [Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE